



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/357,764	07/21/99	GUY	N 871-63

JOSEPH S PRESTA  
NIXON & VANDERHYE PC  
1100 N GLEBE ROAD  
8TH FLOOR  
ARLINGTON VA 22201

0242/0847

NOT ASSIGNED

2711

DATE MAILED:

08/17/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 890.00.

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 7600.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Hipkern, D 74  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

09/03/1999 CUBACHA 00000060 0935776A  
760.00 00  
130.00 00  
01 0:101  
02 0:105

#4  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

NATHAN

Serial No. 09/357,764

Filed: 21 July 1999



Atty. Ref.: 871-63

Group:

Examiner:

For: REMOTE CONTROL UNIT FOR  
INTELLIGENT DIGITAL AUDIOVISUAL  
REPRODUCTION SYSTEMS

\* \* \* \* \*

September 2, 1999

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Enclosed is a copy of the Filing Receipt for the above-identified application which incorrectly states the inventor's name as NATHAN GUY. It should read GUY NATHAN. The correction is shown in red on the attached copy of the filing receipt. Please note your records accordingly. Correction of same is respectfully requested.

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

By: 

Joseph S. Presta

Reg. No. 35,329

JSP:mg

1100 North Glebe Road, 8th Floor  
Arlington, VA 22201-4714  
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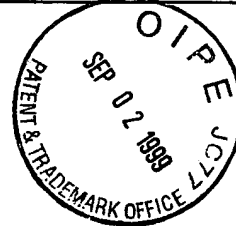
FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/357,764	07/21/99	2711	\$0.00	871-63	1	10	1

JOSEPH S PRESTA  
NIXON & VANDERHYE PC  
1100 N GLEBE ROAD  
8TH FLOOR  
ARLINGTON VA 22201



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) ~~NATHAN GUY~~, YERRES, FRANCE.  
GUY NATHAN

FOREIGN APPLICATIONS- FRANCE 98 09351 07/22/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 08/12/99

TITLE

REMOTE CONTROL UNIT FOR INTELLIGENT DIGITAL AUDIOVISUAL REPRODUCTION SYSTEMS

PRELIMINARY CLASS: 348

DATA ENTRY BY: HOPKINS, DENISE TEAM: 04 DATE: 08/12/99

|||||

(See reverse for new important information)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:

NATHAN

Serial No. 09/357,764

Filed: 21 July 1999

For: REMOTE CONTROL UNIT FOR INTELLIGENT  
DIGITAL AUDIOVISUAL REPRODUCTION  
SYSTEMS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attention: Application Branch.

Atty. Dkt. 871-63

Date: September 2, 1999



The attached completes filing of the above-identified patent application:

- ☒ Signed Rule 63 Declaration alone OR
- ☐ Signed Declaration plus attached copy of originally filed specification/drawings.
- ☒ **NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED** form.
- ☒ Record and return the attached assignment.
- ☒ Priority is hereby claimed per Rule 55 & 35 USC119 based on prior foreign application(s) Nos.:

**Application Nos.**

98 09351

**Country**

France

**Filing Date**

22 July 1998

respectively.

- ☐ This application is based on the following prior provisional application(s):

**Application No.**

**Filing Date**

respectively and priority is hereby claimed therefrom.

Certified copy(ies) of foreign and/or provisional application(s): ☒ attached; ☐ already filed on \_\_\_\_\_

in U.S. Application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_.

- ☐ The undersigned verifies that the above-identified application is identical to: \_\_\_\_\_ filed \_\_\_\_\_, as amended on \_\_\_\_\_.

- ☐ Verified Statement attached establishing "small entity" status (Rules 9 & 27)

- ☐ Also attached:

**Fees are attached as calculated below:**

Basic filing fee						\$	760.00
Total Effective claims	10	- 20 =	0	x \$	18.00	\$	0.00
Independent claims	1	- 3 =	0	x \$	78.00	\$	0.00
If any proper multiple dependent claims now added for first time, add \$260.00 (ignore improper)							\$ 0.00
<b>FILING FEE</b>							\$ 760.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$380.00/2 months; \$870.00/3 months; \$1,360.00/4 months)							\$ 0.00
Surcharge (\$130.00) if Declaration or filing fee first now submitted							\$ 130.00
<b>FIRST SUBTOTAL</b>							\$ 890.00
If "small entity," enter half (1/2) of subtotal and subtract							-\$ 0.00
<b>SECOND SUBTOTAL</b>							\$ 890.00
Assignment Recording Fee (\$40.00)							\$ 40.00
<b>TOTAL FEE ENCLOSED</b>							\$ 930.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

1100 North Glebe Road, 8<sup>th</sup> Floor  
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**NIXON & VANDERHYTE P.C.**

By Atty: Joseph S. Presta, Reg. No. 35,329

Signature: \_\_\_\_\_